

RECEIVED

AUG 16 2002

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eugenia Wang

COPY OF PAPERS  
ORIGINALLY FILED

Serial No.: 09/820,531

Art Unit: 1645

Filed: March 29, 2001

Examiner: Not Yet Assigned

For: *MICROARRAYS TO SCREEN REGULATORY GENES*

Assistant Commissioner for Patents  
Washington, D.C. 20231

### INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56 and 37 C.F.R. §1.97, Applicant submits an Information Disclosure Statement, including two (2) pages of Form PTO-1449 and a copy of each document cited therein.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) prior to a first Office Action on the merits. It is believed that no fee is required with this submission. However, should a fee be required, the Commissioner is hereby authorized to charge any required fees to Deposit Account No. 50-1868.

#### U.S. Patents

<u>Number</u>	<u>Issue Date</u>	<u>Patentee</u>	<u>Class/Subclass</u>
6,013,437	01-11-2000	Luria et al.	435/6
6,136,541	10-24-2000	Gulati	435/6

#### Publications

BOWTELL, "Options available--from start to finish--for obtaining expression data by microarray," *Nat Genet* 21(1 Suppl):25-32 (1999).

U.S.S.N.: 09/820,531  
Filed: March 29, 2001  
INFORMATION DISCLOSURE STATEMENT

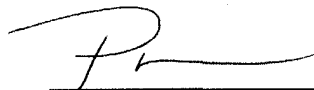
RAMSAY, "DNA chips: state-of-the art," *Nat Biotechnol* 16(1):40-44 (1998).

WANG, et al., "Large-scale identification, mapping, and genotyping of single-nucleotide polymorphisms in the human genome," *Science* 280(5366):1077-1082 (1998).

### Remarks

This statement should not be interpreted as a representation that an exhaustive search has been conducted or that no better art exists. Moreover, Applicant invites the Examiner to make an independent evaluation of the cited art to determine its relevance to the subject matter of the present application. Applicant is of the opinion that her claims patentably distinguish over the art referred to herein, either alone or in combination.

Respectfully submitted,



---

Patrea L. Pabst  
Reg. No. 31,284

Dated: August 9, 2002

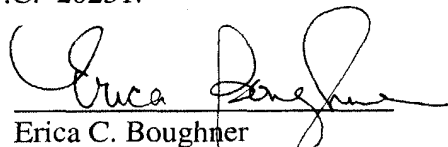
HOLLAND & KNIGHT LLP  
One Atlantic Center  
1201 West Peachtree Street, N.E.  
Suite 2000  
Atlanta, Georgia 30309-3400  
404-817-8473  
FAX 404-817-8588  
www.hklaw.com

U.S.S.N.: 09/820,531  
Filed: March 29, 2001  
INFORMATION DISCLOSURE STATEMENT

**Certificate of Mailing under 37 C.F.R. § 1.8(a)**

I hereby certify that this Information Disclosure Statement, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: August 9, 2002

  
Erica C. Boughner

ATL1 #530643 v1

Please type a plus sign (+) inside this box →



PTO/SB/08A (10-96)  
Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

+

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO  <div style="display: flex; align-items: center; justify-content: center;"> <div style="margin-left: 10px;"> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <small>(use as many sheets as necessary)</small> </div> </div>		<b>Complete if Known</b>	
Application Number		09/820,531	
Filing Date		March 29, 2001	
First Named Inventor		Eugenia Wang	
Group Art Unit		1645	
Examiner Name		UNLV 1010	
Attorney Docket Number		UNLV 1010	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	US Patent Document		Name of Patentee or Applicant of Cited Document	Date of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,013,437		Luria et al.	01-11-2000	<div style="font-size: 2em; font-weight: bold;">RECEIVED</div> <div style="font-size: 1.2em; font-weight: bold;">AUG 16 2002</div> <div style="font-size: 1.2em; font-weight: bold;">TECH CENTER 1600/2900</div>
		6,136,541		Gulati	10-24-2000	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
		Office. <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examine Signature	Date Considered
----------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

<sup>1</sup> Unique citation designation number <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commissioner for Patent, Washington, DC 20231.

+

COPY OF PAPERS  
ORIGINALLY FILED

